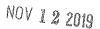
STATE ETHICS COMMISSION





ETHICS DISCLOSURE STATEMENT CONFLICTS OF INTEREST — DECISIONS AND VOTING State Form 66860 (R / 10-16) OFFICE OF THE INSPECTOR GENERAL IC 4-2-6-9

FILED



In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the inspector General's website. Name (last) Name (first) Name (middle) Rice Richard Name of office or agency Job title Indiana Department of Financial Institutions **Board Member** Address of office (number and street) City ZIP code 30 S. Meridian St., Ste. 300 Indianapolis 46204 Office telephone number Office e-mail address (required) (317) 232-3955 rrice@dfi.in.gov Describe the conflict of interest: On November 14, 2019, the DFI Members are being asked to vote on an item involving Teachers Credit Union. I am the former CEO of Teachers Credit Union, although I did not participate in the transaction that is before the Members on November 14, 2019. I am no longer on the Board of Directors, but when I served as CEO I helped select Teachers' current CEO. I currently serve as a Board Member Emeritus, for Teachers Credit Union, which is an honorary title with no ownership interest. My only current ownership interest is Teachers Credit Union is that of a member. All people who hold accounts at credit unions are considered members and hold ownership interests. My current ownership interest is non greater than any other regular member of Teachers Credit Union. Out of an abundance of caution, I am filing this Ethics Disclosure Statement prior to the vote involving Teachers Credit Union at the DFI Members Meeting on November 14, 2019.

Describe the screen established by your ethics officer: (Attach additional pages as needed.) I have notified DFI's Director and Ethics Officer of the potential conflict of interest. I will not participate in the discussion	
or vote on the matter involving Teachers Credit Union at the November 14, 2019 DFI Members' Meeting.	
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AFFIRMATION Voter clangiture holes affirme that your displaceures on this forms are lines around to the forms of the form	
Your signature below affirms that your disclosures on this form are true, complete, and co knowledge and belief. In addition to this form, you have attached a copy of your written d appointing authority and ethics officer.	Isclosure to your agency
Signature of state officer, employee or special state appointee	Date signed (month, day, year)
Printed full name of state officer, employee or special state appointee RICHARD J RICE	
FOR ETHICS OFFICER USE ONLY	
Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.	
Signature of ethics officer Accele Bushill	Date signed (month, day, year)
Printed full name of ethics officer	

Buskill, Nicole R

From:

Buskill, Nicole R

Sent:

Tuesday, November 12, 2019 1:43 PM

To:

Fite, Tom

Subject:

Rice Disclosure

Attachments:

Rice Disclosure.pdf

Tom, this is to notify you that Rick Rice has a potential conflict of interest in a matter at the upcoming Members' meeting on November 14, 2019. Pursuant to IC 4-2-6-9(b) and 42 IAC 1-5-6, Rice has a potential conflict of interest (decisions and votes) with the Teachers Credit Union matter that is going to be presented to the DFI Members' Board on November 14, 2019. Rice has drafted and we will file the attached disclosure form with the Ethics C omission and Rice will be screened from the relevant portion of the Members' meeting per the plan in the disclosure. Please let me know if you have any questions.

Nicole Buskill

General Counsel Indiana Department of Financial Institutions Office: 317-232-3955 nbuskill@dfi.in.gov

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